

A panorama on irregular singularities

Claude Sabbah



Centre de Mathématiques Laurent Schwartz
École polytechnique, CNRS, Université Paris-Saclay
Palaiseau, France

A panorama on singular varieties:
a conference to celebrate Lê Dũng Tráng 70th birthday

February 9, 2017

Sevilla, Spain

Setting for this talk

- X : cplx manifold, D : reduced divisor in X .
- V : vector bdle on X , $\nabla : V \rightarrow \Omega_X^1(*D) \otimes V$
integrable meromorphic connection.
- Loc. coordinates x_1, \dots, x_n ,
 - $\nabla = d + \sum_{i=1}^n A_i(x) dx_i$, $A_i \in M_n(\mathcal{O}_X(*D))$,

$$\frac{\partial A_i(x)}{\partial x_j} - \frac{\partial A_j(x)}{\partial x_i} = [A_i(x), A_j(x)].$$

- Enough to consider $\mathcal{V} := \mathcal{O}_X(*D) \otimes_{\mathcal{O}_X} V$:
meromorphic vect. bdle.

Basic examples

- $\mathcal{V} = \mathcal{O}_X(*D)$: trivial merom. bdlle of rank one.
- φ : merom. fnct. with poles in D .
- **Case 1:** $\nabla = \boxed{d + \alpha \frac{d\varphi}{\varphi}}$, $\alpha \in \mathbb{C}^*$.
 - $\nabla v = 0 \implies v = \varphi^{-\alpha}$, multi-valued hol. fnct **with moderate growth all around D**
 - \implies **regular singularity** along D .

Basic examples

- $\mathcal{V} = \mathcal{O}_X(*D)$: trivial merom. bdlle of rank one.
- φ : merom. fnct. with poles in D .

- **Case 1:** $\nabla = \boxed{d + \alpha \frac{d\varphi}{\varphi}}$, $\alpha \in \mathbb{C}^*$.

- $\nabla v = 0 \implies v = \varphi^{-\alpha}$, multi-valued hol. fnct **with moderate growth all around D**
- \implies **regular singularity** along D .

- **Case 2:** $\nabla = \boxed{d + \alpha d\varphi}$, $\alpha \in \mathbb{C}^*$.

- $\nabla v = 0 \implies v = e^{-\alpha\varphi}$, hol. fnct. **with moderate growth only on the semi-analytic domain**

$$\boxed{\operatorname{Re}(\alpha\varphi) > 0 \quad \text{in } X \setminus D.}$$

- \implies **irregular singularity** along D .

Reg. versus irreg. singularities

(\mathcal{V}, ∇) : any merom. bdl. with integrable connection.

- ∇ has **reg. sing.** along D if all local vectors v s. t. $\nabla v = 0$ have moderate growth all around D .
- ∇ has **irreg. sing.** along D **otherwise**.

Reg. versus irreg. singularities

(\mathcal{V}, ∇) : any merom. bdlle with integrable connection.

- ∇ has **reg. sing.** along D if all local vectors v s. t. $\nabla v = 0$ have moderate growth all around D .

- ∇ has **irreg. sing.** along D **otherwise**.

??

- To describe the subanalytic domains around D on which some components of v have moderate growth.

- To describe the change that occurs when one moves from a subanalytic domain to another one (**Stokes phenomenon, Wall crossing formulas**).

Case $\dim X = 1$

- $\mathcal{V} = \mathbb{C}(\{z\})^r$, $\widehat{\mathcal{V}} = \mathbb{C}((z)) \otimes \mathcal{V}$,
- $\nabla = d + A(z)dz$, $A \in \text{End}(\mathbb{C}(\{z\})^r)$.

THEOREM (Levelt-Turrittin): Replace z with $\zeta = z^{1/q}$,
then $\exists \widehat{M} \in \text{GL}_r(\mathbb{C}((\zeta)))$,

$$\widehat{B}(\zeta) d\zeta := \left(\widehat{M}^{-1} A(\zeta^q) \widehat{M} + \widehat{M}^{-1} \widehat{M}'_{\zeta} \right) d\zeta$$

$$= \left(\begin{array}{ccc} \boxed{\text{d}\varphi_1(\zeta) \text{Id}_1 + C_1 \frac{\text{d}\zeta}{\zeta}} & & \\ & \dots & \\ & & \boxed{\text{d}\varphi_k(\zeta) \text{Id}_k + C_k \frac{\text{d}\zeta}{\zeta}} \end{array} \right)$$

$$\varphi_j \in \mathbb{C}[1/\zeta], \quad C_i = \text{cst matrix.}$$

Case $\dim X = 1$

- Basic invariant: *Irreg. number.*
- $X = \mathbb{C}$, $D = \{0\}$, $j : X \setminus D \hookrightarrow X$,
- $(\mathcal{V}^{\text{ess}}, \nabla) := (j_* j^* \mathcal{V} / \mathcal{V}, \nabla)$,
- $\text{irr}(\mathcal{V}, \nabla, 0) := \chi(\mathcal{V}^{\text{ess}} \xrightarrow{\nabla} \mathcal{V}^{\text{ess}}) = \dim(\mathcal{V}^{\text{ess}})^{\nabla}$.

Case $\dim X = 1$

- Basic invariant: *Irreg. number.*
- $X = \mathbb{C}$, $D = \{0\}$, $j : X \setminus D \hookrightarrow X$,
- $(\mathcal{V}^{\text{ess}}, \nabla) := (j_* j^* \mathcal{V} / \mathcal{V}, \nabla)$,
- $\text{irr}(\mathcal{V}, \nabla, 0) := \chi(\mathcal{V}^{\text{ess}} \xrightarrow{\nabla} \mathcal{V}^{\text{ess}}) = \dim(\mathcal{V}^{\text{ess}})^{\nabla}$.

THEOREM: $\text{irr}(\mathcal{V}, \nabla, 0)$ only depends on $(\widehat{\mathcal{V}}, \nabla)$, and more precisely,

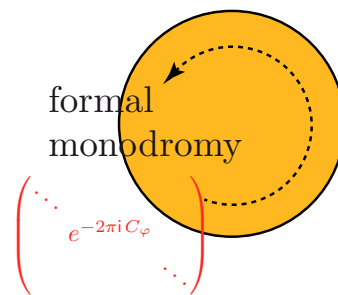
$$\text{irr}(\mathcal{V}, \nabla, 0) = \sum_{j=1}^k r_j p_j, \quad \begin{cases} p_j := \text{pole ord. of } \varphi_j, \\ r_j := \text{size of } C_j. \end{cases}$$

Case $\dim X = 1$

- Asympt. anal.: $\nabla v = 0 \Rightarrow v = \begin{pmatrix} e^{-\varphi_1} \cdot \text{mod. growth} \\ \vdots \\ e^{-\varphi_k} \cdot \text{mod. growth} \end{pmatrix}$
- \rightsquigarrow Stokes structure and Stokes phenomenon

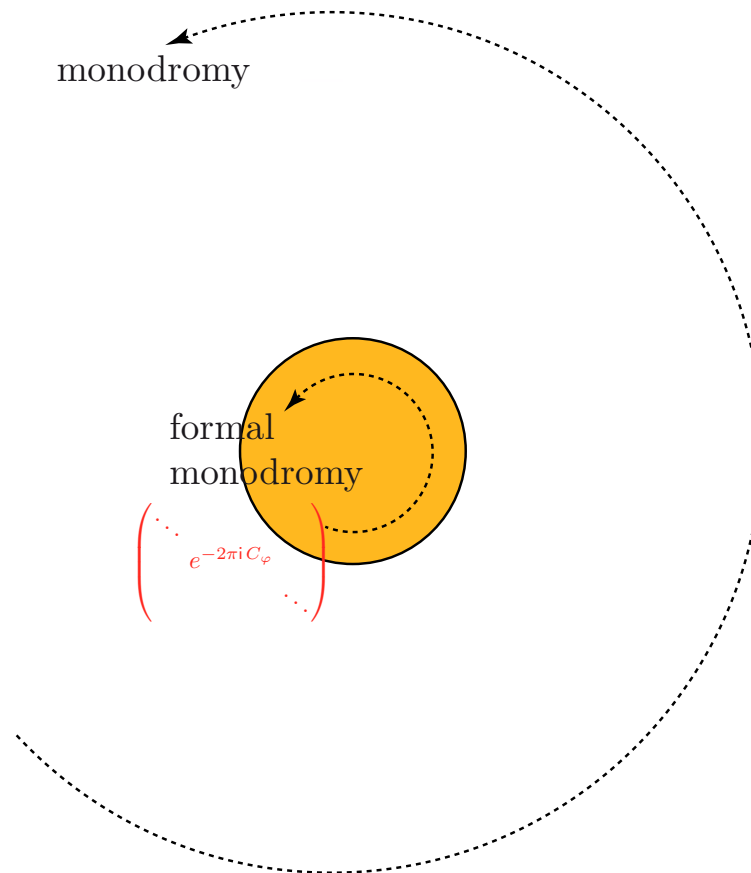
Case $\dim X = 1$

- Asympt. anal.: $\nabla v = 0 \Rightarrow v = \begin{pmatrix} e^{-\varphi_1} \cdot \text{mod. growth} \\ \vdots \\ e^{-\varphi_k} \cdot \text{mod. growth} \end{pmatrix}$
- \rightsquigarrow Stokes structure and Stokes phenomenon



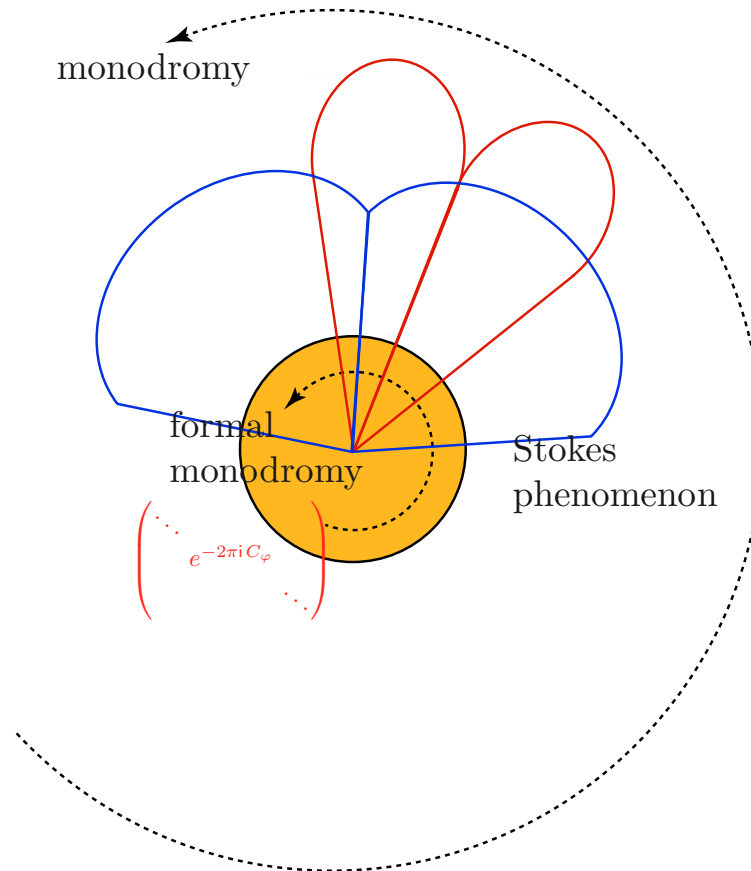
Case $\dim X = 1$

- Asympt. anal.: $\nabla v = 0 \Rightarrow v = \begin{pmatrix} e^{-\varphi_1} \cdot \text{mod. growth} \\ \vdots \\ e^{-\varphi_k} \cdot \text{mod. growth} \end{pmatrix}$
- \rightsquigarrow Stokes structure and Stokes phenomenon



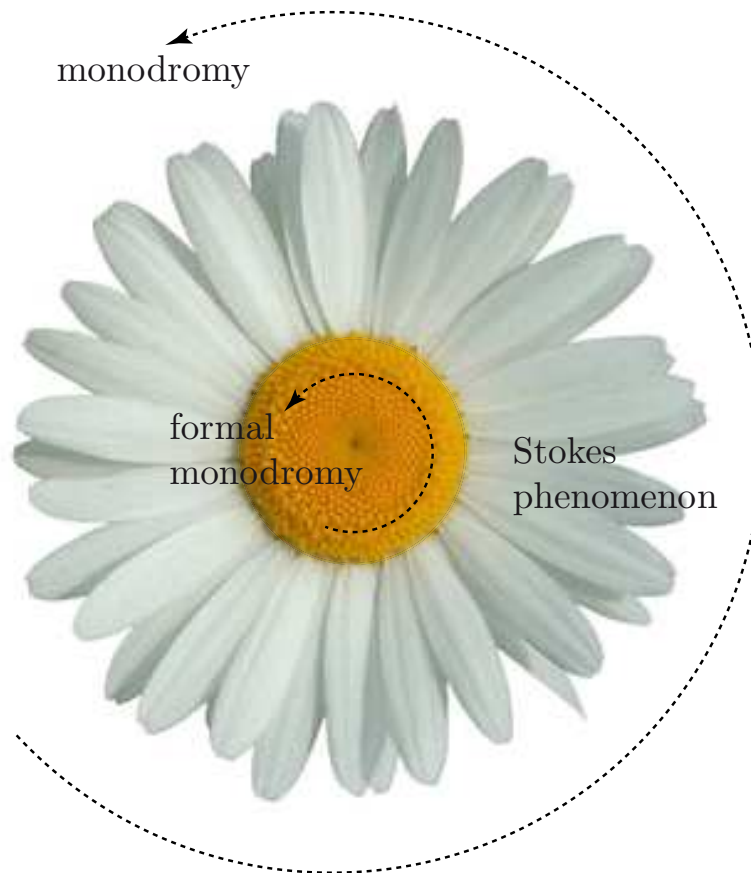
Case $\dim X = 1$

- Asympt. anal.: $\nabla v = 0 \Rightarrow v = \begin{pmatrix} e^{-\varphi_1} \cdot \text{mod. growth} \\ \vdots \\ e^{-\varphi_k} \cdot \text{mod. growth} \end{pmatrix}$
- \rightsquigarrow Stokes structure and Stokes phenomenon



Case $\dim X = 1$

- Asympt. anal.: $\nabla v = 0 \Rightarrow v = \begin{pmatrix} e^{-\varphi_1} \cdot \text{mod. growth} \\ \vdots \\ e^{-\varphi_k} \cdot \text{mod. growth} \end{pmatrix}$
- \rightsquigarrow Stokes structure and Stokes phenomenon

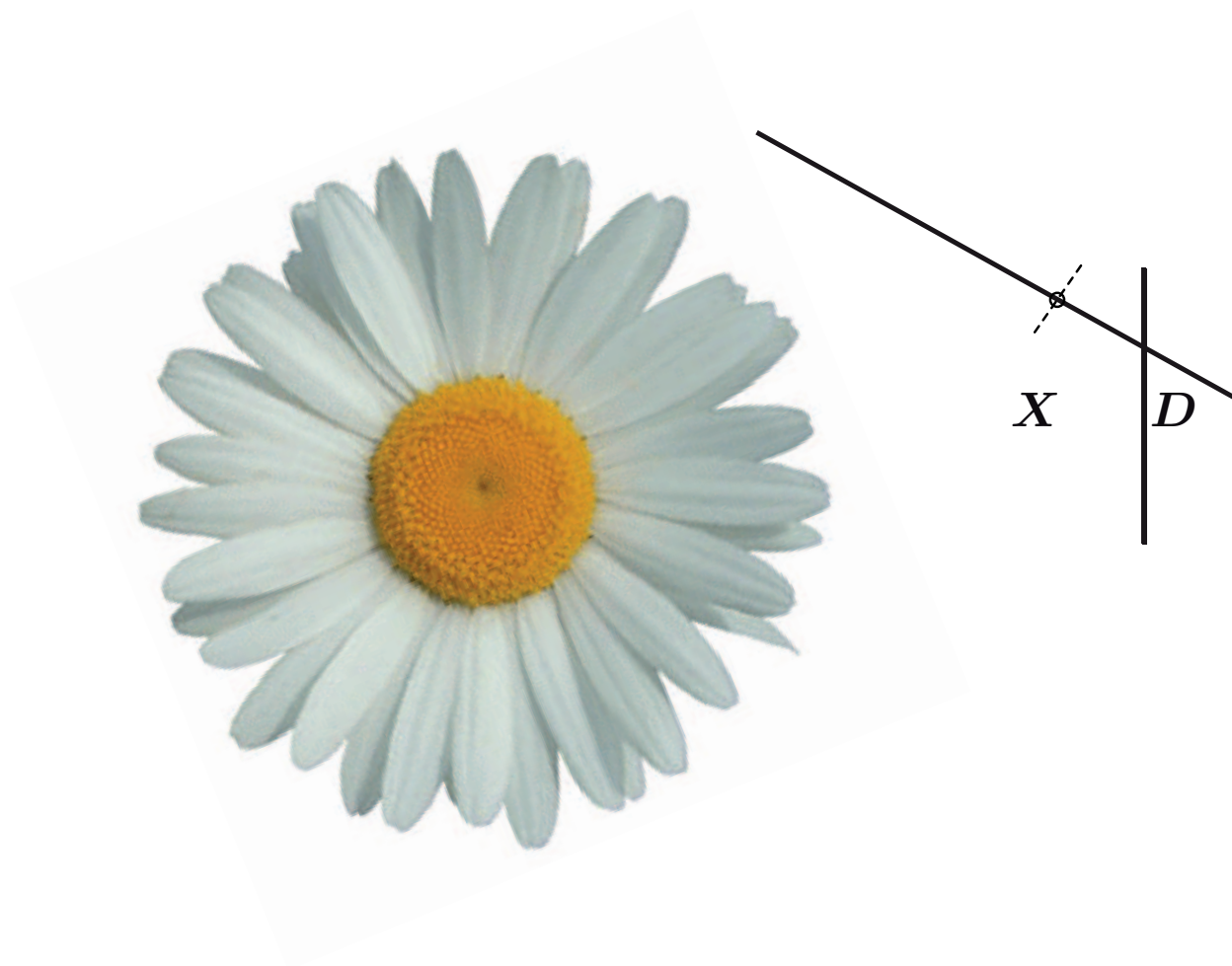


Case $\dim X \geq 2$

- (\mathcal{V}, ∇) : any merom. bdlle with integrable connection.

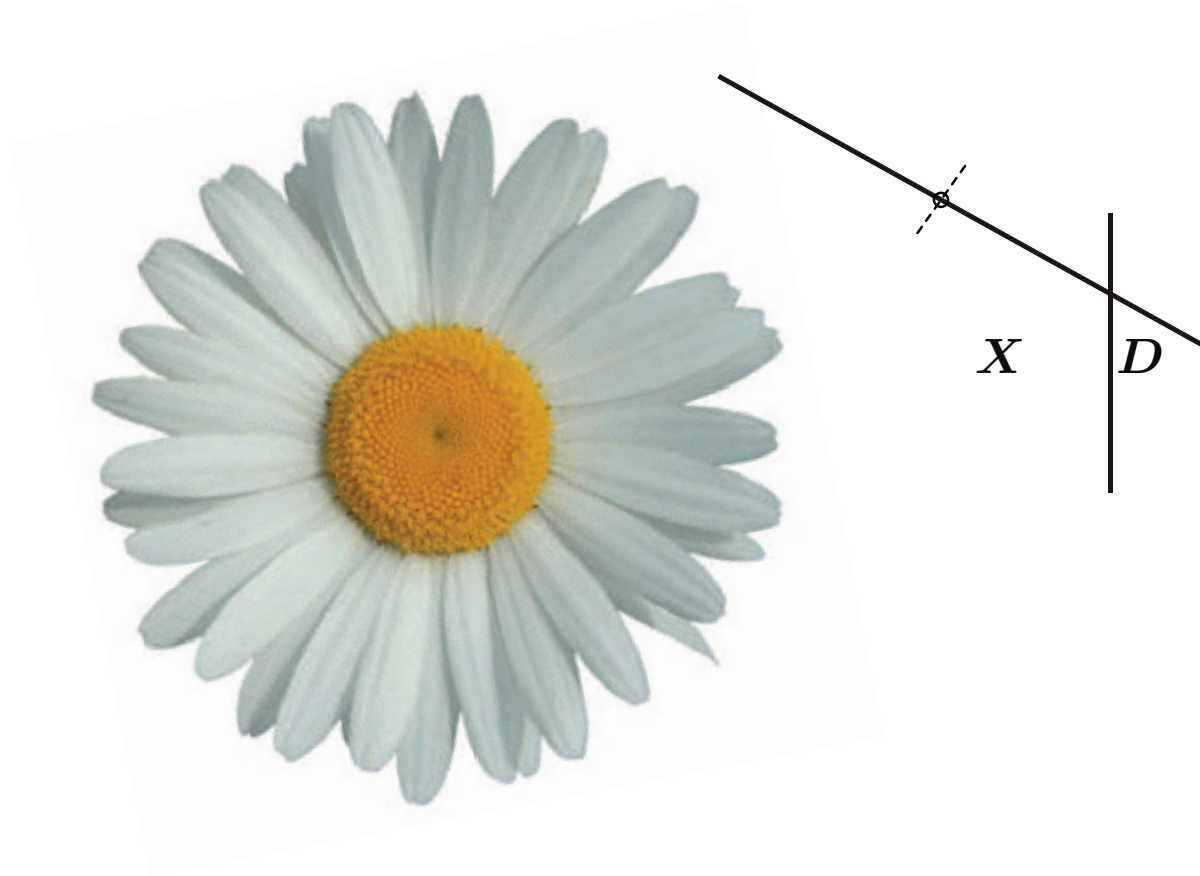
Case $\dim X \geq 2$

- (\mathcal{V}, ∇) : any merom. bdl. with integrable connection.



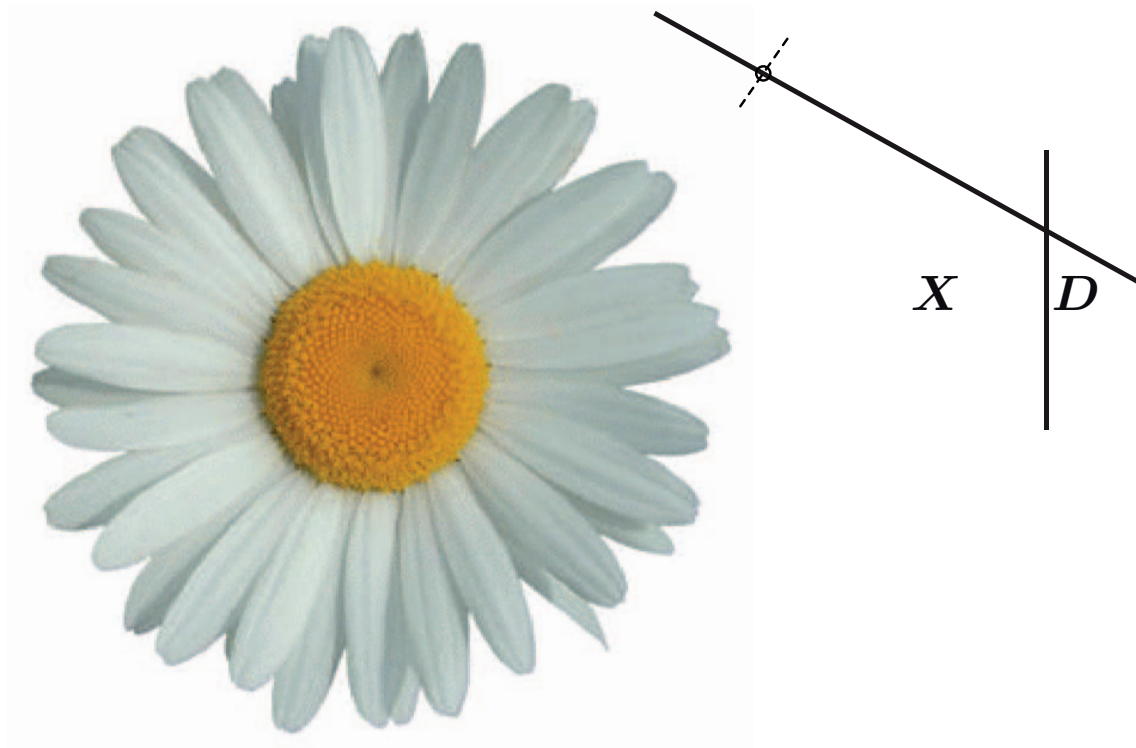
Case $\dim X \geq 2$

- (\mathcal{V}, ∇) : any merom. bdl. with integrable connection.



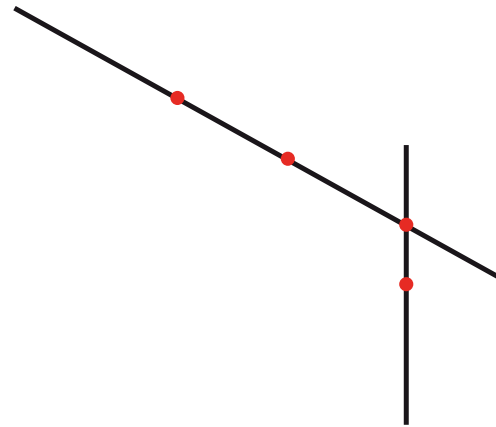
Case $\dim X \geq 2$

- (\mathcal{V}, ∇) : any merom. bdl. with integrable connection.



Case $\dim X \geq 2$

- (\mathcal{V}, ∇) : any merom. bdl. with integrable connection.



THEOREM (André 2007): The **red** points where the transverse structure changes drastically (and does not only rotate) form a **closed cplx analytic subset**.

The irregularity complex

The de Rham complex of $\mathrm{DR}(\mathcal{V})$ is the complex

$$0 \longrightarrow \mathcal{V} \xrightarrow{\nabla} \Omega_X^1 \otimes \mathcal{V} \longrightarrow \cdots \xrightarrow{\nabla} \Omega_X^n \otimes \mathcal{V} \longrightarrow 0$$

and the cone $\mathrm{Irr}(\mathcal{V})[+1]$ (*irregularity complex*):

$$\mathrm{Irr}(\mathcal{V}) \longrightarrow \mathrm{DR}(\mathcal{V}) \longrightarrow Rj_* (\mathrm{DR}(\mathcal{V})_{X \setminus D}) \xrightarrow{+1}$$

is a complex on D which basically computes flat sections of \mathcal{V} with *essential sing.* along D .

The irregularity complex

The de Rham complex of $\mathrm{DR}(\mathcal{V})$ is the complex

$$0 \longrightarrow \mathcal{V} \xrightarrow{\nabla} \Omega_X^1 \otimes \mathcal{V} \longrightarrow \cdots \xrightarrow{\nabla} \Omega_X^n \otimes \mathcal{V} \longrightarrow 0$$

and the cone $\mathrm{Irr}(\mathcal{V})[+1]$ (**irregularity complex**):

$$\mathrm{Irr}(\mathcal{V}) \longrightarrow \mathrm{DR}(\mathcal{V}) \longrightarrow Rj_* \left(\mathrm{DR}(\mathcal{V})_{X \setminus D} \right) \xrightarrow{+1}$$

is a complex on D which basically computes flat sections of \mathcal{V} with **essential sing.** along D .

THEOREM (Mebkhout 1989): $\mathrm{Irr}(\mathcal{V})$ is perverse.

CONJECTURE (Teyssier 2013): Singularities of $\mathrm{Irr}(\mathcal{V})$ correspond to the **red** point locus.

The real oriented blow up along D

- If $D = (f)$, replace D with $D \times S^1$ and get

$$\varpi : \widetilde{X}(D) \longrightarrow X, \quad \varpi^{-1}(D) = D \times S^1 = \partial \widetilde{X}(D).$$

Real semi-analytic spaces. Can be globalized.

- $\mathcal{A}_{\widetilde{X}(D)}^{>\text{mod}D}$: holom. fncts on $X \setminus D$, modulo those having mod. growth near pts of $\partial \widetilde{X}(D)$.
- $\text{DR}^{>\text{mod}D} \mathcal{V}$: complex on $\partial \widetilde{X}(D)$.

The real oriented blow up along D

- If $D = (f)$, replace D with $D \times S^1$ and get

$$\varpi : \widetilde{X}(D) \longrightarrow X, \quad \varpi^{-1}(D) = D \times S^1 = \partial \widetilde{X}(D).$$

Real semi-analytic spaces. Can be globalized.

- $\mathcal{A}_{\widetilde{X}(D)}^{>\text{mod}D}$: holom. fncts on $X \setminus D$, modulo those having mod. growth near pts of $\partial \widetilde{X}(D)$.
- $\text{DR}^{>\text{mod}D} \mathcal{V}$: complex on $\partial \widetilde{X}(D)$.

THEOREM: $\text{DR}^{>\text{mod}D} \mathcal{V}$ has \mathbb{R} -constr. cohom. and $R\varpi_*(\text{DR}^{>\text{mod}D} \mathcal{V}) \simeq \text{Irr}(\mathcal{V})[+1]$.

The proof uses the reduction to **formal normal form** after cplx blow-up.

Formal normal form

- (\mathcal{V}, ∇) has a **good formal structure along D** if
 - D has normal crossings,
 - at **each point** of D , loc. coord. x_1, \dots, x_ℓ ,
 $D = \{x_1 \cdots x_\ell = 0\}$,
 $\nabla = d + A = d + \sum_i A_i(x) dx_i$,
 $A_i \in \mathbb{C}\{x\}[1/x_1 \cdots x_\ell]$,

Formal normal form

- (\mathcal{V}, ∇) has a **good formal structure along D** if
 - D has normal crossings,
 - at **each point** of D , loc. coord. x_1, \dots, x_n ,
 $D = \{x_1 \cdots x_\ell = 0\}$,
 $\nabla = d + A = d + \sum_i A_i(x) dx_i$,
 $A_i \in \mathbb{C}\{x\}[1/x_1 \cdots x_\ell]$,
 - up to ramif. around D : $\begin{cases} \xi_i = x_i^{1/q_i} & , i = 1, \dots, \ell, \\ \xi_i = x_i & i > \ell, \end{cases}$
 - $\exists \widehat{M} \in \mathrm{GL}_r(\mathbb{C}\{\xi\}[1/\xi_1 \cdots \xi_\ell])$ such that
 - $\widehat{B}(\xi)$ is block-diagonal, with blocks

$$\boxed{d\varphi_j \mathrm{Id}_j + \sum_{i=1}^{\ell} C_{i,j} \frac{d\xi_i}{\xi_i}}$$

$\varphi_j \in \mathbb{C}\{\xi\}[1/\xi_1 \cdots \xi_\ell]$, $C_{i,j} = \text{cst matrix}$,
 + “good condition” on the family $(\varphi_j)_j$.

Formal normal form

THEOREM (Kedlaya, Mochizuki, 2008-11): \exists a finite sequence of complex blowing-ups after which the pull-back of (\mathcal{V}, ∇) has a good formal structure everywhere.

Formal normal form

THEOREM (Kedlaya, Mochizuki, 2008-11): \exists a finite sequence of complex blowing-ups after which the pull-back of (\mathcal{V}, ∇) has a good formal structure everywhere.

THEOREM (Majima 1984, CS, Mochizuki): A good formal structure can be lifted in poly-sectors to an $\mathcal{A}_{\widetilde{X}(D)}^{\text{mod}D}$ -decomposition.

- $\widetilde{X}(D)$: real-oriented blow-up along the **irred. comp.** of D (polar coordinates w.r.t. x_1, \dots, x_ℓ).
- Near each point \tilde{x}_o of $\partial\widetilde{X}(D)$, \widehat{M} can be lifted to $M \in \text{GL}_r(\mathcal{A}_{\widetilde{X}(D), \tilde{x}_o}^{\text{mod}D})$,
- $\rightsquigarrow \widetilde{B}_{x_o}$ has the same block diagonal form as \widehat{B} .

Formal normal form

- If (\mathcal{V}, ∇) has a good formal structure along D ,
 \rightsquigarrow **Stokes structure** along D well-understood:
 - “Rotating daisy struct.” along each stratum of D ,
 - Gluing properties between strata.

Formal normal form

- If (\mathcal{V}, ∇) has a good formal structure along D ,
 \rightsquigarrow **Stokes structure** along D well-understood:
 - “Rotating daisy struct.” along each stratum of D ,
 - Gluing properties between strata.

THEOREM (CS, sugg. by Teyssier): On any open stratum D_I of D , $\text{Irr}(\mathcal{V}, \nabla)|_{D_I}$ only depends on the formal module $\mathcal{O}_{\widehat{D}_I} \otimes (\mathcal{V}, \nabla)$.

- i.e., each cohomology sheaf of $\text{Irr}(\mathcal{V}, \nabla)|_{D_I}$ is a local system on D_I whose monodromy **does not depend** on the Stokes phenomenon transverse to D_I .
- Analogue of the one-dim. case.

Geometry of Riemann-Hilbert corresp.

- Assume (\mathcal{V}, ∇) has a good formal structure along D .
- $D_I :=$ stratum of D ,
- $\widetilde{X}(D)|_{\text{nb}(D_I)} = D_I \times (S^1)^\ell \times (\mathbb{R}_+)^\ell$,
- $\widetilde{X}(D)|_{D_I} = D_I \times (S^1)^\ell \times \{0\}$
- $\varphi_j \sim u_j(x_{\ell+1}, \dots, x_n) \cdot \xi^{-p_{j,1}} \dots \xi^{-p_{j,\ell}}$, $u_j(0) \neq 0$,
- $\arg \varphi_j = \arg u_j(x) - \sum_{i=1}^{\ell} p_{j,i} \arg \xi_i$

Geometry of Riemann-Hilbert corresp.

- Assume (\mathcal{V}, ∇) has a good formal structure along D .
- $D_I :=$ stratum of D ,
- $\widetilde{X}(D)|_{\text{nb}(D_I)} = D_I \times (S^1)^\ell \times (\mathbb{R}_+)^\ell$,
- $\widetilde{X}(D)|_{D_I} = D_I \times (S^1)^\ell \times \{0\}$
- $\varphi_j \sim u_j(x_{\ell+1}, \dots, x_n) \cdot \xi^{-p_{j,1}} \dots \xi^{-p_{j,\ell}}$, $u_j(0) \neq 0$,
- $\arg \varphi_j = \arg u_j(x) - \sum_{i=1}^{\ell} p_{j,i} \arg \xi_i$
- $\overset{\text{def}}{\varphi_j \leq \varphi_{j_0}} \iff e^{-\varphi_j} = e^{-\varphi_{j_0}} \cdot \text{mod. growth}$
 $\iff \text{Re}(\varphi_j) > \text{Re}(\varphi_{j_0})$
 $\iff \arg(\varphi_j) - \arg(\varphi_{j_0}) \in (\pi/2, 3\pi/2)$
- $\{\varphi_j \leq \varphi_{j_0}\}$: semi-analytic open set in $D_I \times (S^1)^\ell$.
- Global setting \rightsquigarrow finite cover. Σ_I of $(S^1)^\ell_{D_I}(X)$

Riemann-Hilbert correspondence

- $\mathcal{L} := (j^*\mathcal{V})^\nabla$: local system on $X \setminus D$,
- extends as a local system \mathcal{L} on $\widetilde{X}(D)$
- \rightsquigarrow local system \mathcal{L}_I on Σ_I .
- $\forall \varphi_{j_o}, \mathcal{L}_{I, \leq \varphi_{j_o}}$: flat sect. $v = \begin{pmatrix} e^{-\varphi_1} \cdot \text{mod. growth} \\ \vdots \\ e^{-\varphi_k} \cdot \text{mod. growth} \end{pmatrix}$
whose entries are $\leq \varphi_{j_o}$
- $\mathcal{L}_{I, \leq \varphi_{j_o}}$: \mathbb{R} -construct. subsheaf of \mathcal{L}_I .
- \rightsquigarrow **Stokes-filtered local system** $(\mathcal{L}, \mathcal{L}_{I, \leq \bullet})$ on Σ_I
- \rightsquigarrow **Stokes-filtered constr. sheaf** on $\widetilde{X}(D)$.

Riemann-Hilbert correspondence

- $\mathcal{L} := (j^*\mathcal{V})^\nabla$: local system on $X \setminus D$,
- extends as a local system \mathcal{L} on $\widetilde{X}(D)$
- \rightsquigarrow local system \mathcal{L}_I on Σ_I .
- $\forall \varphi_{j_o}, \mathcal{L}_{I, \leq \varphi_{j_o}}$: flat sect. $v = \begin{pmatrix} e^{-\varphi_1} \cdot \text{mod. growth} \\ \vdots \\ e^{-\varphi_k} \cdot \text{mod. growth} \end{pmatrix}$
whose entries are $\leq \varphi_{j_o}$
- $\mathcal{L}_{I, \leq \varphi_{j_o}}$: \mathbb{R} -construct. subsheaf of \mathcal{L}_I .
- \rightsquigarrow **Stokes-filtered local system** $(\mathcal{L}, \mathcal{L}_{I, \leq \bullet})$ on Σ_I
- \rightsquigarrow **Stokes-filtered constr. sheaf** on $\widetilde{X}(D)$.

THEOREM (Deligne, Malgrange, Mochizuki, CS):
 (\mathcal{V}, ∇) with good formal struct. along $D \longmapsto$
 Stokes-filtered constr. sheaf on $\widetilde{X}(D)$
 is an **equivalence of categories**.

Riemann-Hilbert correspondence

- **Drawback:** What happens if (\mathcal{V}, ∇) does not have a good formal structure along D , e.g., D not ncd?
- **Main problem:** What kind of an object extends \mathcal{L} on $X \setminus D$.
- **D'Agnolo-Kashiwara:** Define a category of \mathbb{R} -constructible **enhanced Ind-sheaves** and functors

$$\begin{array}{ccc}
 (\mathcal{V}, \nabla) & \xrightarrow{\mathrm{DR}^E} & \mathrm{DR}^E(\mathcal{V}, \nabla) \in \mathbf{E}_{\mathbb{R}\text{-c}}^b(\mathrm{IC}_X) \\
 & & \downarrow \Psi_X^E \\
 & & \mathbf{D}^b(\mathcal{D}_X)
 \end{array}$$

with an isom. $(\mathcal{V}, \nabla) \simeq \Psi_X^E(\mathrm{DR}^E(\mathcal{V}, \nabla))$

Riemann-Hilbert correspondence

- D'Agnolo-Kashiwara (2014):

$$\begin{array}{ccc} \mathbf{D}_{\text{hol}}^b(\mathcal{D}_X) & \xrightarrow{\text{DR}^E} & \mathbf{E}_{\mathbb{R}\text{-c}}^b(\text{IC}_X) \\ & & \downarrow \Psi_X^E \\ & & \mathbf{D}^b(\mathcal{D}_X) \end{array}$$

with an isom. $\Psi_X^E \circ \text{DR}^E \simeq \text{Id}$

- **Essential image** of $\mathbf{D}_{\text{hol}}^b(\mathcal{D}_X)$ by DR^E ?
- **Mochizuki (2016)**: Ess. image = objects whose restriction to any germ of cplx analytic parametrized curve corresponds to Stokes-filtered loc. syst.