

# Introduction to Stokes structures

## *II: dimension $\geq 2$*

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# Connections in dim. $\geq 2$

## Local approach.

- $\Delta^n$ , coord.  $z = (z_1, \dots, z_n)$ .
- Linear diff. system:  $\frac{df}{dz_i} = A_i(z) \cdot f, \quad i = 1, \dots, n.$
- $A_i(z)$  matrix of size  $d$ , merom., pole along a div.  $D$ .
- Gauge equiv.:  $P \in GL_d(\mathbb{C}\{z\}(*D))$ ,

$$B_i := P[A_i] = P^{-1}A_iP + P^{-1}\partial P/\partial z_i$$

- **Integrability cond.:**

$$\frac{\partial A_i}{\partial z_j} - \frac{\partial A_j}{\partial z_i} = [A_i, A_j] \quad \forall i, j.$$

# Connections in dim. $\geq 2$

## Global approach.

- $X =$  cplx. manifold,  $D =$  hypersurface.
- Linear diff. system:
  - holom. vect. bdle  $E$  on  $X$ ,
  - merom. connection  $\nabla : E \rightarrow \Omega_X^1(*D) \otimes E$
- **Integrability cond.:**  $\nabla^2 = 0$
- In local coord.  $(z_1, \dots, z_n)$  and in a local basis of  $E$ ,

$$\nabla = d + \sum_{i=1}^n A_i(z) dz_i, \quad A_i \in \text{Mat}_d(\mathbb{C}\{z\}(*D)).$$

$$\nabla^2 = 0 \iff \frac{\partial A_i}{\partial z_j} - \frac{\partial A_j}{\partial z_i} = -[A_i, A_j]$$

# Connections in dim. $\geq 2$

## Global approach.

- $X =$  cplx. manifold,  $D =$  hypersurface.
- Linear diff. system:
  - merom. vect. bdle  $M$  on  $X$ : coh.  $\mathcal{O}_X(*D)$ -mod.
  - connection  $\nabla : M \rightarrow \Omega_X^1 \otimes M$
- **Integrability cond.:**  $\nabla^2 = 0$
- In local coord.  $(z_1, \dots, z_n)$  and in a local basis of  $E$ ,

$$\nabla = d + \sum_{i=1}^n A_i(z) dz_i, \quad A_i \in \text{Mat}_d(\mathbb{C}\{z\}(*D)).$$

$$\nabla^2 = 0 \iff \frac{\partial A_i}{\partial z_j} - \frac{\partial A_j}{\partial z_i} = -[A_i, A_j]$$

# Integrable deformations

•  $(M^o, \nabla^o)$  on  $\Delta$ :

- $M^o := \mathcal{O}_\Delta^d(*0)$ ,
- $\nabla^o := d + A^o(z)dz$ .

• **Integrable deform. param. by  $(X, x^o)$ :**

- $(M, \nabla)$  on  $\Delta \times X$ , poles on  $\{0\} \times X$ ,
- $\nabla$  **integrable**,

s.t.  $(M, \nabla)|_{x^o} = (M^o, \nabla^o)$

•  $\nabla|_{x^o}?$

$$\begin{aligned} \nabla : M &\rightarrow \Omega_{\Delta \times X}^1 \otimes M \\ &\downarrow \\ \nabla^{\text{rel}} : M &\rightarrow \Omega_{\Delta \times X / X}^1 \otimes M \\ &\Downarrow \\ \nabla|_{x^o} &:= (\nabla^{\text{rel}})|_{x^o} \end{aligned}$$

# Integrable deformations

## ● Example:

- $M^o = \mathcal{O}_\Delta(*0)$ ,
- $\nabla^o = d$ ,
- $X = \mathbb{C}$ ,
- $M = \mathcal{O}_{\Delta \times X}(*(\mathbf{0} \times X))$ ,
- $\nabla = d + d(x/z)$ ,
- $\nabla^{\text{rel}} = d - x dz/z^2$ .

Then  $(M, \nabla)|_x$

- **regular** at  $x = 0$ ,
- **irregular** for any  $x \neq 0$ .

$\implies$  bad example, should impose more properties.

# Isomonodromic deformations

- Assume  $(M^o, \nabla^o)$  *regular*.
- $(M, \nabla)$  integr. deform. of  $(M^o, \nabla^o)$  is *isomonodromic* if
  - any  $(M, \nabla)|_x$  is reg. on  $(\Delta, 0)$ .
- *Rigidity*: If  $X$  is 1-connected,  $\exists!!$  isomono. deform.  $(M, \nabla)$  of  $(M^o, \nabla^o)$  on  $X$ .
  - $\iff \pi_1(\Delta, 1) = \pi_1(\Delta \times X, (1, x_o))$ .

# Iso-level deformations

- $B^o(z)$ : (non-ramified) normal form:

$$B^o dz = \begin{pmatrix} d\varphi_1^o & & \\ & \ddots & \\ & & d\varphi_d^o \end{pmatrix} + C^o \frac{dz}{z} \quad \begin{array}{l} \varphi_k^o \in \frac{1}{z}\mathbb{C}[\frac{1}{z}] \\ C^o = \text{const.} \\ \text{non reson.} \end{array}$$

- **Iso-level deformation** on  $\Delta \times X$ :

$$\nabla = d + \begin{pmatrix} d\varphi_1 & & \\ & \ddots & \\ & & d\varphi_d \end{pmatrix} + C^o \frac{dz}{z}$$

s.t.

- $\varphi_k(z, x) \in \Gamma(X, z^{-1}\mathcal{O}_X[z^{-1}])$ ,
- pole order of  $z \mapsto \varphi_k(z, x)$  **cst.**,
- pole order of  $z \mapsto (\varphi_k(z, x) - \varphi_j(z, x))$  **cst.**



# Iso-level deformations

- **Theorem** (Ueno 1980, Jimbo-Miwa-Ueno, Malgrange, Mochizuki). Given:
  - $(M^o, \nabla^o) = (\mathcal{O}_\Delta(*0)^d, d + A^o dz)$  with formal normal form  $d + B^o dz$ .
  - $(\mathcal{O}_{\Delta \times X}(*(0 \times X))^d, d + B dz)$ : iso-level integr. deform. of  $d + B^o dz$ .
- + assume  $X$  1-connected.
- $\implies \exists!!$  integr. deform.  $(M, \nabla)$  s.t.  
 $\forall x \in X, (M, \nabla)|_x$  has norm. form  $d + B_x dz$ .
- $\rightsquigarrow$  “**isomonodromic**” deformation of irreg. sings.

# Isomonodromic deformations

## ● **Proofs.**

- Show that  $\text{St}(B_x)$  is loc. cst. w.r.t.  $x$ , or
- show that  $(\mathcal{L}, \mathcal{L}_\bullet) \mapsto (\mathcal{L}, \mathcal{L}_\bullet)|_{x^0}$  equiv. of categ. [this proof extends to more gen. cases.]

## ● **Applications.**

- $\#\text{Level}(B^0) = 1 \rightsquigarrow$  **universal** isomono. deform.
- used in Frobenius mflds.
- What about **degenerations**? Example:
  - $B^0 = \text{diag}(x_1^0/z^2, \dots, x_d^0/z^2) + C^0/z$ ,  
 $x_i^0 \neq x_j^0$ .
  - Univ. isomono. deform. param. by  
 $(X, x^0) = \text{univ. cover. of } (\mathbb{C}^d \setminus \text{diags}, x^0)$ .
  - Degenerations along **diags**?

# Normal form in dim. $\geq 2$

- $X = \Delta^n$ , coord.  $z = (z_1, \dots, z_n)$ ,
- $D = \{z_1 \cdots z_\ell = 0\}$ , n.c.d.
- $\varphi_1, \dots, \varphi_d \in \mathcal{O}_X[(z_1 \cdots z_\ell)^{-1}] / \mathcal{O}_X$ ,
- $B_i(z)$  matrix of size  $d$ , merom., pole along  $D$ .

$$B_i = \begin{pmatrix} \partial\varphi_1/\partial z_i & & \\ & \ddots & \\ & & \partial\varphi_d/\partial z_i \end{pmatrix} + \frac{C_i}{z_i} \quad \begin{array}{l} i = 1, \dots, n \\ C_i = \text{const.} \end{array}$$

- + **Integrability cond.**:  $[C_i, C_j] = 0$ .
- New condition: **goodness**.

$$\forall j, k \quad \varphi_j - \varphi_k \begin{cases} = z^{-m_{jk}} \cdot \text{unit}, & m_{jk} \in \mathbb{N}^\ell \setminus \{0\}, \text{ or} \\ \equiv 0 \end{cases}$$

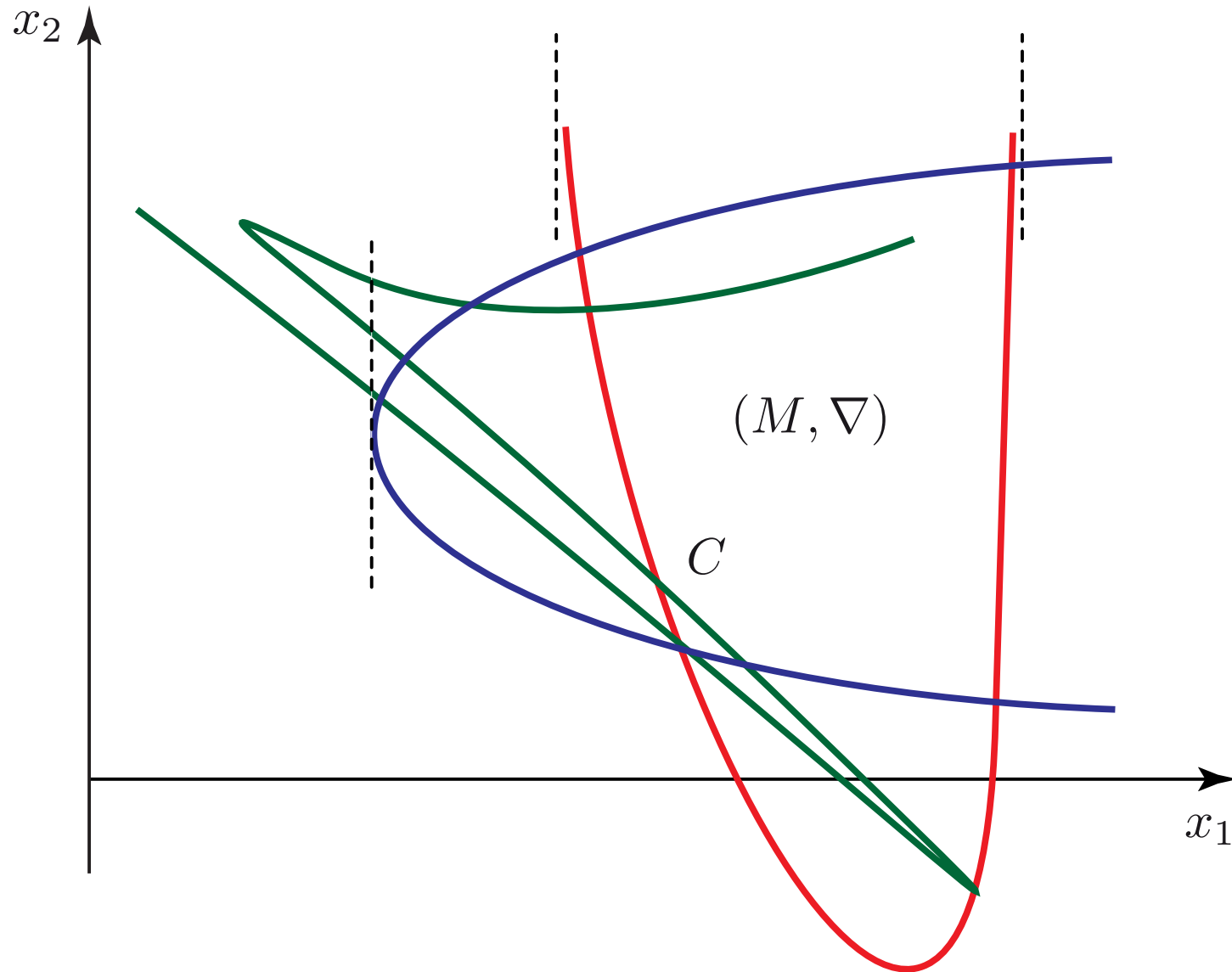
# Example: partial Laplace transf.

- $\mathbb{A}^2$ , coord.  $(x_1, x_2)$ ,
- Curve  $C = \bigcup_i C_i$ ,
- $\rho : \pi_1(\mathbb{A}^2 \setminus C, \star) \rightarrow \mathrm{GL}_n(\mathbb{C})$ ,
- $\iff$  local syst.  $\mathcal{L}$  on  $\mathbb{A}^2 \setminus C$ ,
- $\overset{\mathrm{RH}}{\iff}$  merom. flat bdle on  $\mathbb{C}^2$  with reg. sing.
- $\overset{\mathrm{alg.}}{\iff} \mathbb{C}[x_1, x_2](\ast C)$ -mod. of finite type  $(M, \nabla)$  with flat connection having reg. sing. along  $C$  and  $\infty$ ,
- $\implies \mathbb{C}[x_1, x_2]\langle \partial_{x_1}, \partial_{x_2} \rangle$ -mod. of finite type.
- **Partial Laplace transf.:**

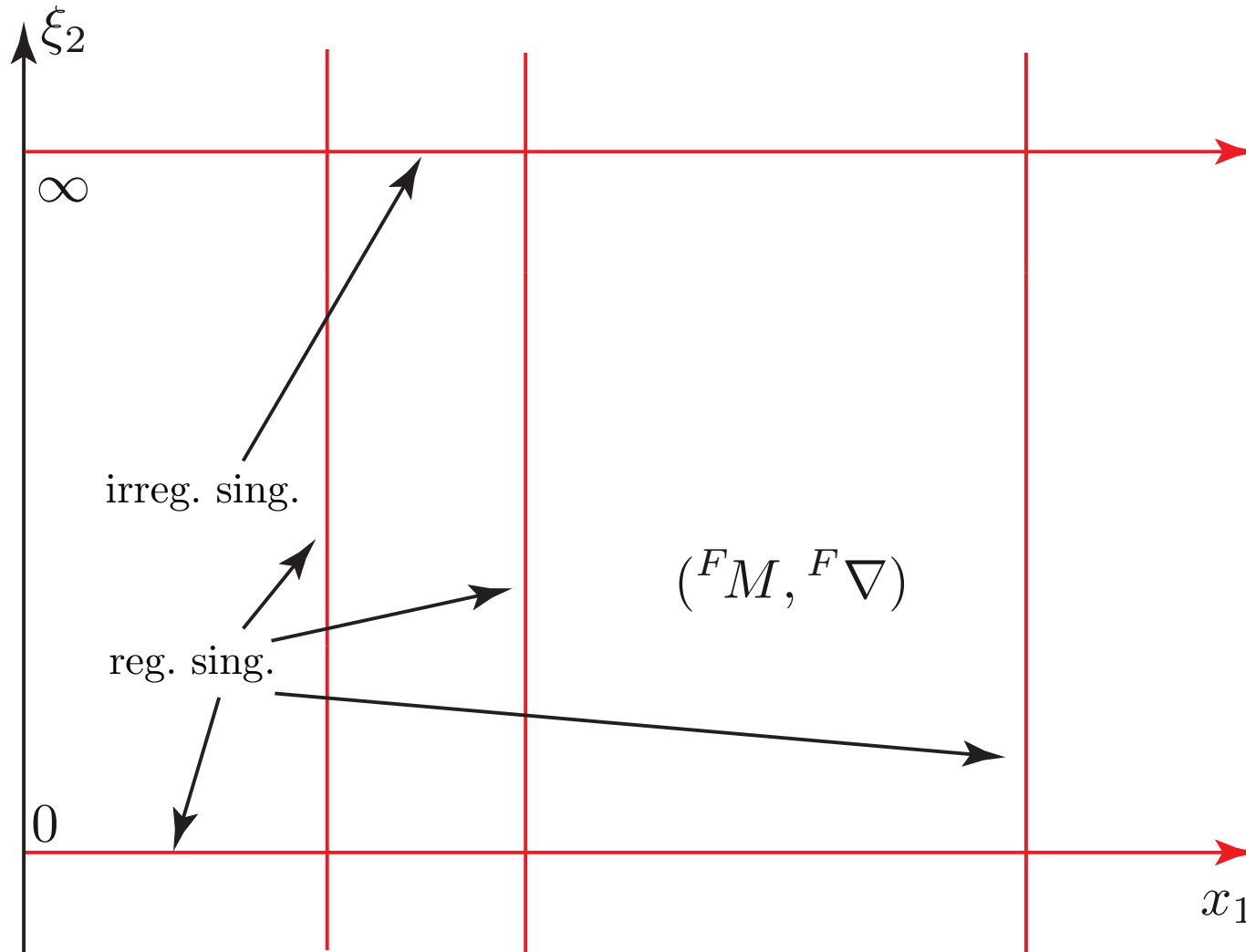
$${}^F M := M \text{ as a } \mathbb{C}[x_1, \xi_2]\langle \partial_{x_1}, \partial_{\xi_2} \rangle \text{-mod.} : \begin{cases} \xi_2 = \partial_{x_2} \\ \partial_{\xi_2} = -x_2 \end{cases}$$

- $\mathrm{Sing} {}^F M : \{\xi_2 = 0\} \cup \{\xi_2 = \infty\} \cup \bigcup_k \{x_1 = x_{1,k}\}$

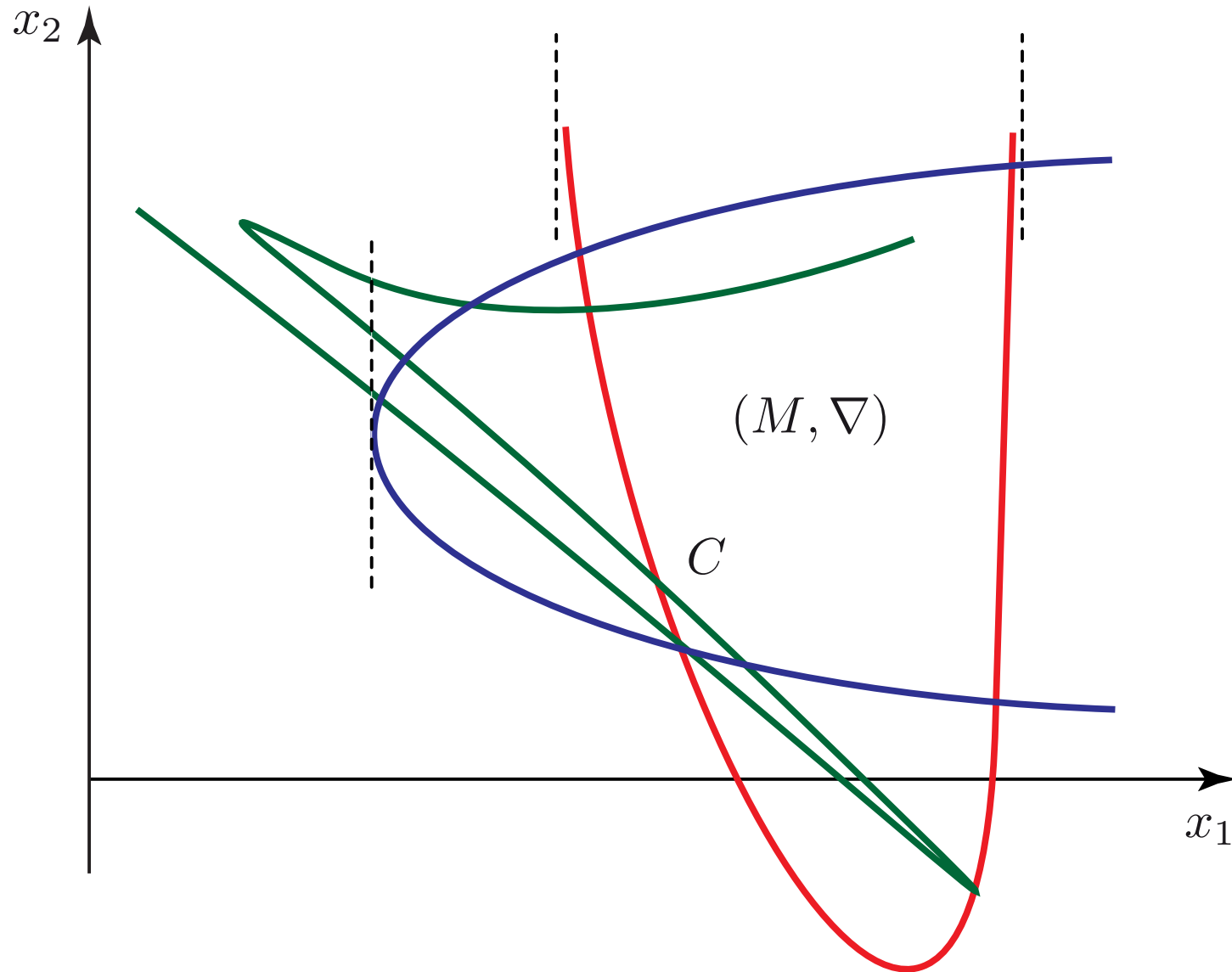
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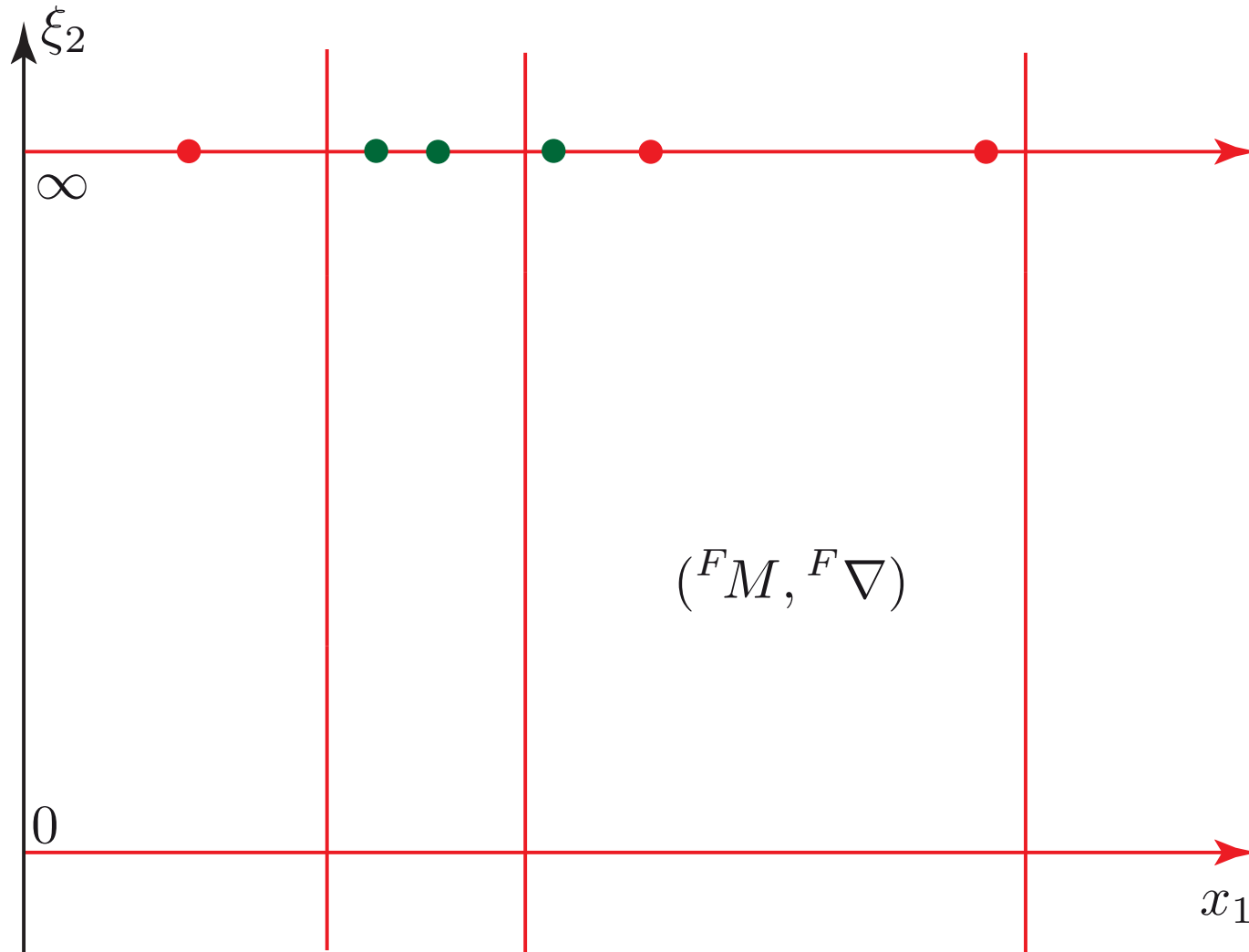
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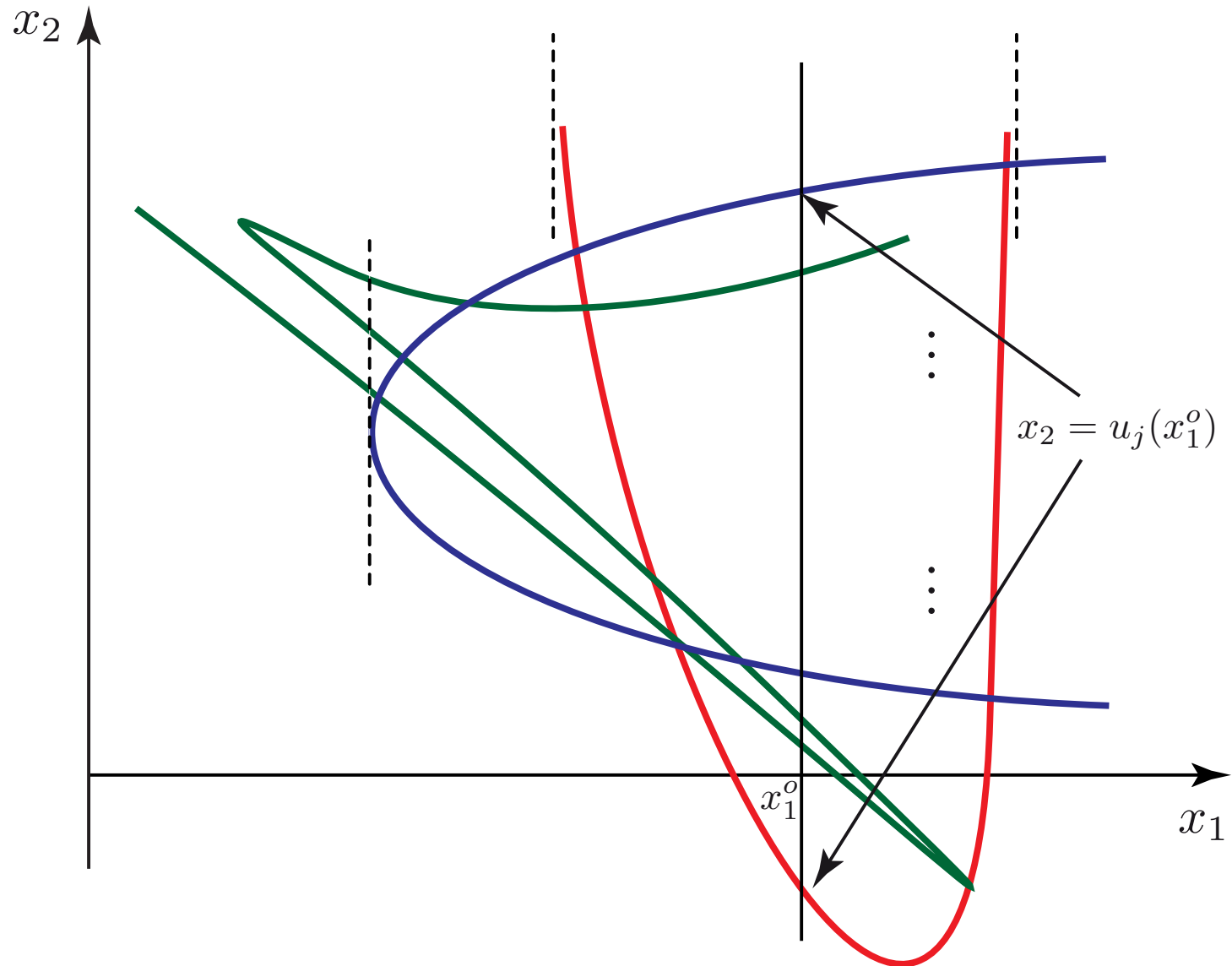


# Example: partial Laplace transf.

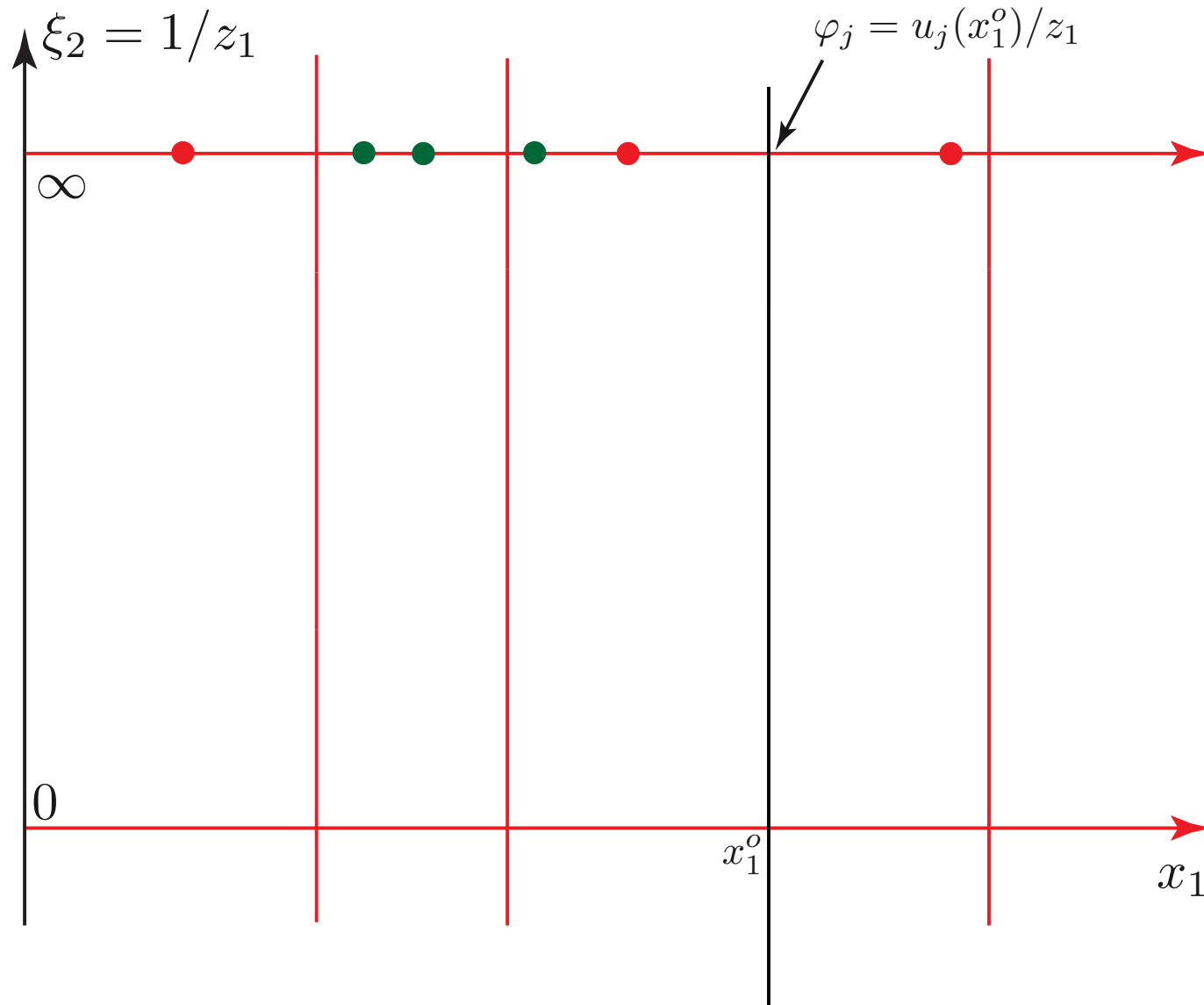




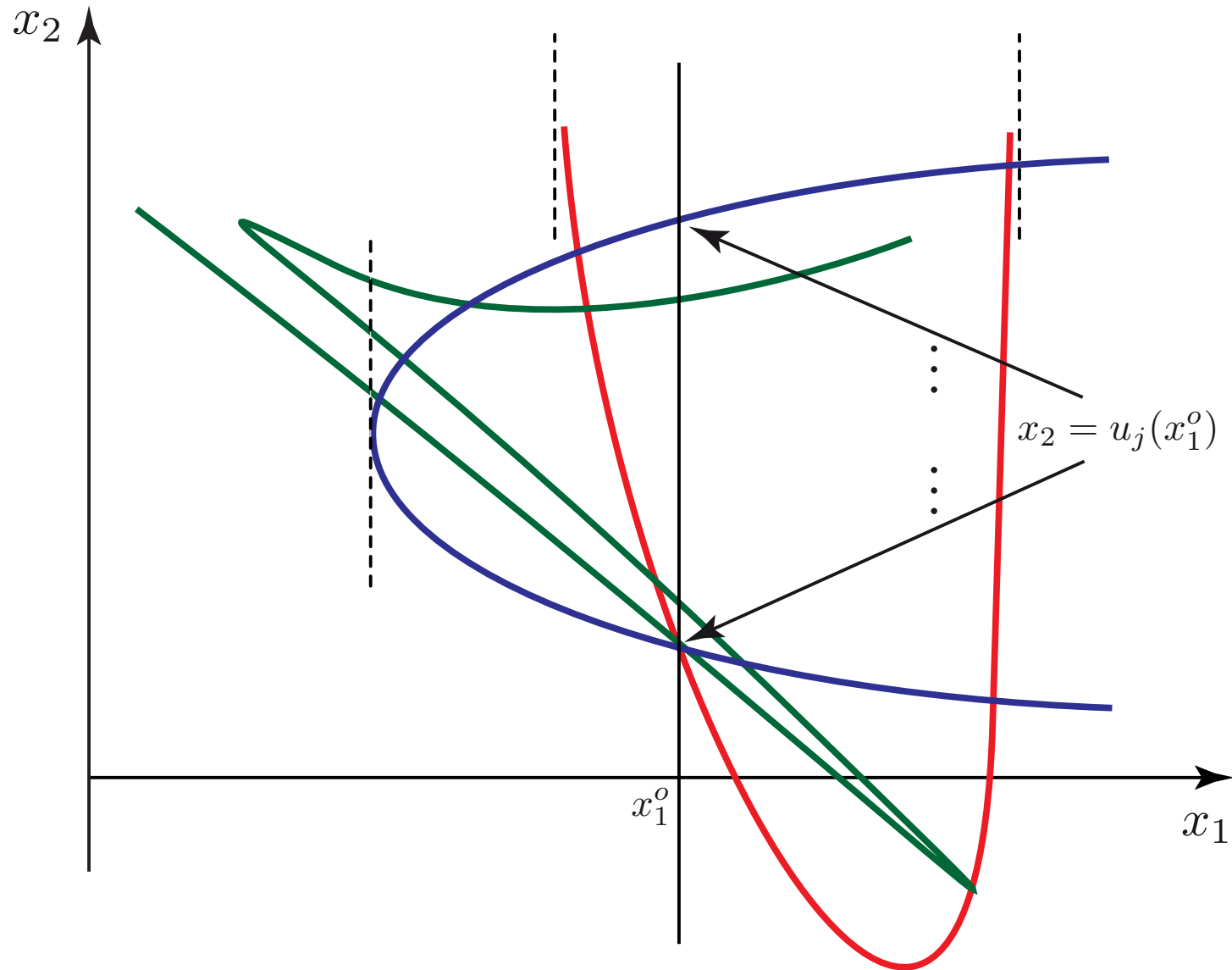
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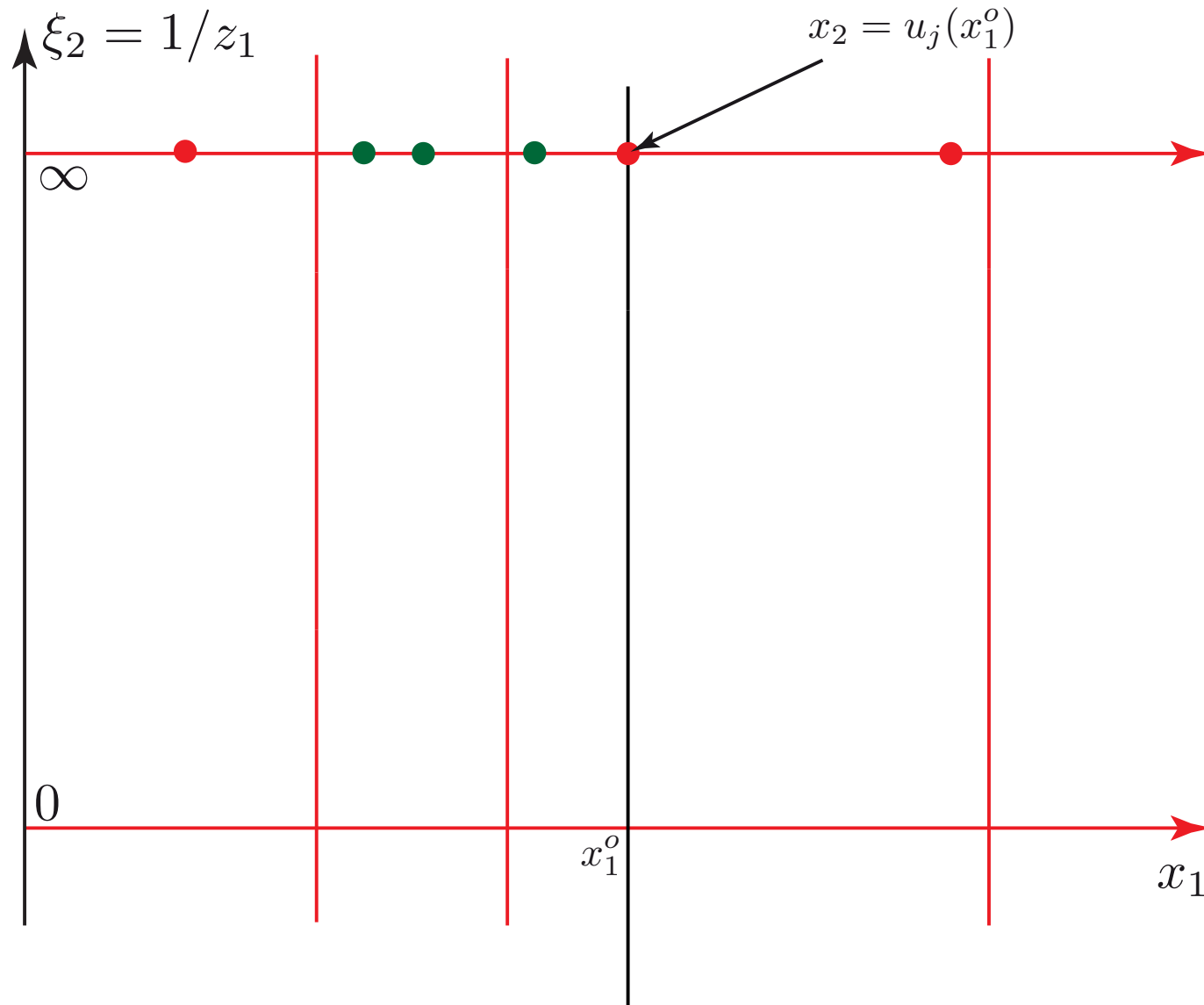
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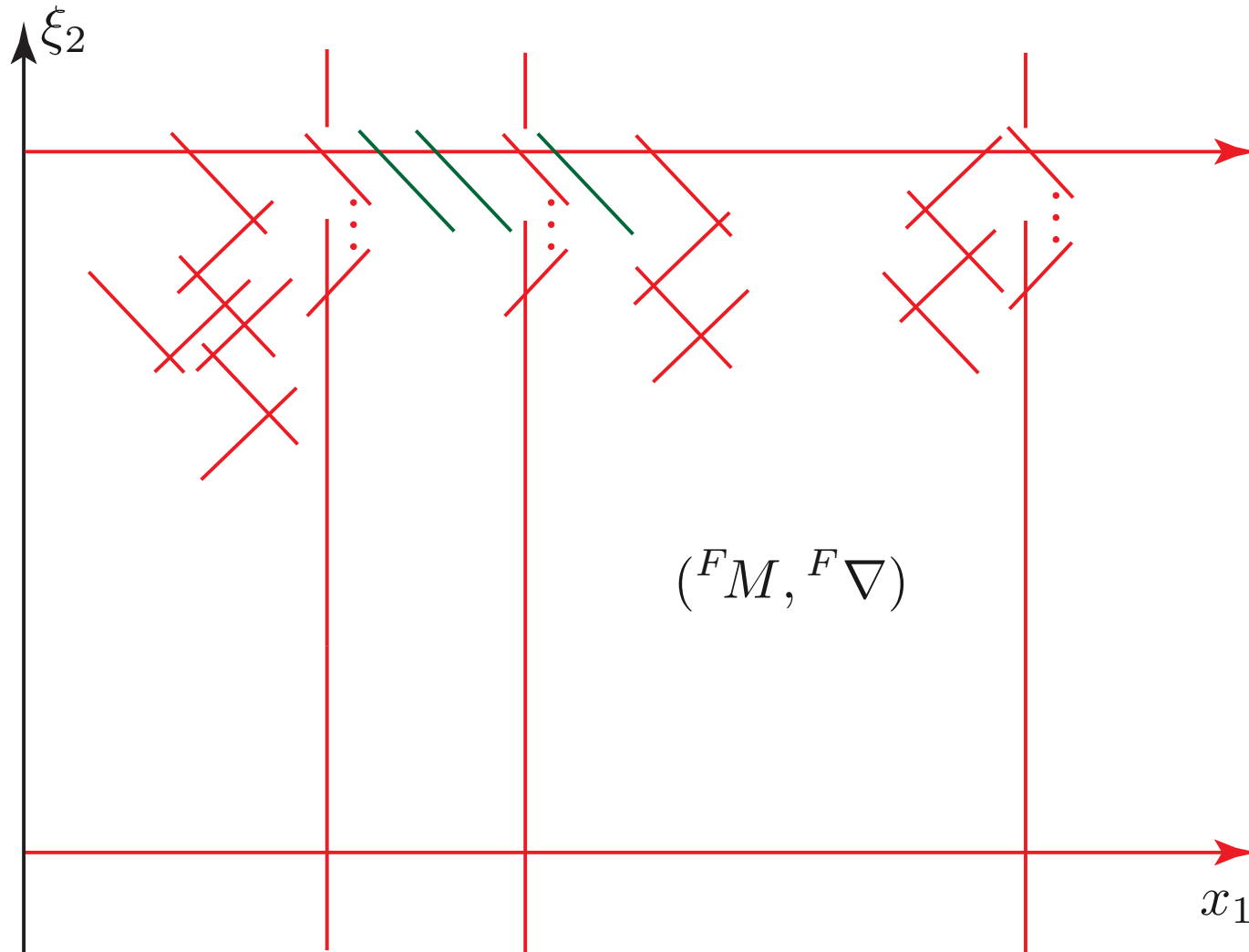


# Normal form for the Laplace transf.

**Theorem (CS 00).**

Assume  $(M, \nabla)$  regular on  $\mathbb{A}^2$ .  $\exists$  a sequence of cplx blowing-ups at each turning point of  ${}^F M$  s.t. the pull-back of  $({}^F M, {}^F \nabla)$  has good **formal** normal form at every point of the pull-back of  $\xi_2 = \infty$ .

# Normal form for the Laplace transf.



# Normal form in $\dim. \geq 2$

**Theorem** (T. Mochizuki, K. Kedlaya).

Given  $(M, \nabla)$  on  $X$  with poles along  $D$ ,

- $\exists$  projective modif.  $\pi : (X', D') \rightarrow (X, D)$  s.t.
  - $D' = \pi^{-1}(D)$  is a **n.c.d.**,
  - $\forall x'_o \in D'$ , after local ramif. around  $D'$ ,

$$\exists \hat{P} \in \mathrm{GL}_d(\widehat{\mathcal{O}_{X'|x'_o}}(*D')), \quad \hat{P}[A_i] = B_i \quad \forall i = 1, \dots, n.$$

$(B_1, \dots, B_n)$ : **good** normal form at  $x'_o$ .

**Remarks.**

- Conj. by C.S. in 2000 and proved in **particular cases in dim. 2.**
- Proved by T. Mochizuki, if  $X, M, \nabla$  are **algebraic.**
- Proved by K. Kedlaya in the **local (formal)** setting.

# Asympt. analysis in dim. $\geq 2$

- $X$  cplx manifold,  $D$  n.c.d.,  $D \stackrel{\text{loc}}{=} \{z_1 \cdots z_\ell = 0\}$

- Strata:

$$D_I^\circ := \bigcap_{i \in I} D_i \setminus \bigcup_{j \notin I} D_j, \quad D_I^\circ \stackrel{\text{loc}}{=} \{z_1 = \cdots = z_\ell = 0\}$$

- $\varpi : \widetilde{X} \rightarrow X$ : oriented real blow-up of  $X$  along the components of  $D$ .

- Loc. coord. on  $\widetilde{X}$ :

$$(\rho_1, \dots, \rho_\ell, e^{i\theta_1}, \dots, e^{i\theta_\ell}, z_{\ell+1}, \dots, z_n).$$

Locally:

$$\widetilde{X} = [0, \varepsilon)^\ell \times (S^1)^\ell \times \Delta^{n-\ell} \quad \text{PL manifold.}$$

$$\partial \widetilde{X} := \varpi^{-1}(D) = \partial [0, \varepsilon)^\ell \times (S^1)^\ell \times \Delta^{n-\ell}$$

$$\partial \widetilde{X}_I^\circ := \varpi^{-1}(D_I^\circ) = \{0\} \times (S^1)^\ell \times \Delta^{n-\ell}$$



# Asympt. analysis in dim. $\geq 2$

• Sheaves  $\mathcal{A}_{\tilde{X}}^{\text{rd } D} \subset \mathcal{A}_{\tilde{X}} \subset \mathcal{C}_{\tilde{X}}^{\infty}$ .

$$\mathcal{A}_{\tilde{X}} := \bigcap_{i=1}^{\ell} \ker(\bar{z}_i \partial_{\bar{z}_i}) \cap \bigcap_{j=\ell+1}^n \ker \partial_{\bar{z}_j}.$$

$$\mathcal{A}_{\tilde{X}}^{\text{rd } D} \subset \mathcal{A}_{\tilde{X}} \subset \mathcal{A}_{\tilde{X}}^{\text{mod } D}.$$

**Theorem** (Hukuhara-Turrittin, H. Majima '84, C.S. '00, Mochizuki '11).

Assume  $(M, \nabla)$  **good** along  $D$ .

$\Rightarrow$  Locally on  $\partial\tilde{X}$ ,  $\exists$  a lifting  $\tilde{P} \in \text{GL}_d(\mathcal{A}_{\tilde{X}}(*D))$  of  $\hat{P}$   
s.t.  $\tilde{P}[A_i] = B_i \forall i$ .

# Good coverings

- Fix a **stratum**  $D_I^\circ$  of  $D$ .
- $\forall x_o \in D_I^\circ, \Phi_{x_o} \subset \mathcal{O}_{X,x_o}(*D)/\mathcal{O}_{X,x_o}$  (neglect ramif.).
- $\bigsqcup_{x \in D_I^\circ} \Phi_x$ : can be endowed with a natural topology (sheaf space).
- $\rightsquigarrow$  finite covering, which is **good**:

$$\Sigma_I^\circ \longrightarrow D_I^\circ$$

• Lift  $\Sigma_I^\circ$  to  $\widetilde{X}$ :

$$\begin{array}{ccc} \widetilde{\Sigma}_I^\circ & \longrightarrow & \partial \widetilde{X}_I^\circ \\ \downarrow & \square & \downarrow \varpi \\ \Sigma_I^\circ & \longrightarrow & D_I^\circ \end{array}$$

- $\forall \tilde{x}_o \in \partial \widetilde{X}_I^\circ \stackrel{\text{loc}}{=} (S^1)^\ell \times \Delta^{n-\ell}$ , order on  $(\widetilde{\Sigma}_I^\circ)_{\tilde{x}_o} = \Phi_{x_o}$ .

# Stokes-filtered loc. syst. on $\partial \widetilde{X}_I^\circ$

- Embeddings:

$$\begin{array}{ccccc}
 & & \widetilde{X} & \longleftarrow & \partial \widetilde{X} & \longleftarrow & \partial \widetilde{X}_I^\circ \\
 & \nearrow \tilde{j} & \downarrow \varpi & & \downarrow & & \downarrow \\
 X \setminus D & \longleftarrow & X & \longleftarrow & D & \longleftarrow & D_I^\circ
 \end{array}$$

- $\ker \nabla|_{X \setminus D}$ : loc. syst. on  $X \setminus D$ .
- **Lemma:**  $R\tilde{j}_* \ker \nabla|_{X \setminus D} = \tilde{j}_* \ker \nabla|_{X \setminus D}$ : loc. syst. on  $\widetilde{X}$ .
- $\mathcal{L} = (\tilde{j}_* \ker \nabla|_{X \setminus D})|_{\partial \widetilde{X}}$ : loc. syst. on  $\partial \widetilde{X}$ .
- $\mathcal{L}_I^\circ := \mathcal{L}|_{\partial \widetilde{X}_I^\circ}$ : loc. syst. on  $\partial \widetilde{X}_I^\circ$ .

# Stokes-filtered loc. syst. on $\partial \widetilde{X}_I^\circ$

- $\forall \tilde{x}_o \in \partial \widetilde{X}_I^\circ, \forall \varphi \in \Phi_{x_o}$ , nested subsp. of  $\mathcal{L}_{I, \tilde{x}_o}^\circ$ :

$$\mathcal{L}_{I, \leq \varphi, \tilde{x}_o}^\circ = \{ f_{\tilde{x}_o} \mid e^{-\varphi} f(z) \in \mathcal{A}_{\tilde{x}_o}^{\text{mod } D} \}$$

$$\mathcal{L}_{I, < \varphi, \tilde{x}_o}^\circ = \{ f_{\tilde{x}_o} \mid \exists i \in I, e^{-\varphi} f(z) \in \mathcal{A}_{\tilde{x}_o}^{\text{rd } D_i} \}$$

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$\text{rd } D \not\iff (\text{mod } D \ \& \ \neq 0)$ ,

e.g.  $D = \{z_1 z_2 = 0\}, x_o = (0, 0)$ ,

$$\text{Re}(1/z_1) < 0 \text{ at } \tilde{x}_o \implies e^{1/z_1} \begin{cases} \in \mathcal{A}_{\tilde{x}_o}^{\text{mod } D}, \\ \in \mathcal{A}_{\tilde{x}_o}^{\text{rd } D_1}, \\ \notin \mathcal{A}_{\tilde{x}_o}^{\text{rd } D}. \end{cases}$$

# Stokes-filtered loc. syst. on $\partial \widetilde{X}_I^\circ$

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- $\rightsquigarrow$  pair of nested subsheaves  $\mathcal{L}_{I, <}^\circ \subset \mathcal{L}_{I, \leq}^\circ$  on  $\widetilde{\Sigma}_I^\circ$ .
- $\rightsquigarrow$  notion of Stokes-filtered loc. syst.  $(\mathcal{L}_I^\circ, \mathcal{L}_{I, \bullet}^\circ)$  on  $(\partial \widetilde{X}_I^\circ, \widetilde{\Sigma}_I^\circ)$ .

**Theorem** (Mochizuki 11, CS 13):

Given a **good** cov.  $\Sigma_I^\circ$ , equiv. of categ.

Germ along  $D_i^\circ$  of merom. flat bdles on  $(X, D)$ , ass. cov.  $\subset \Sigma_I^\circ$



Stokes-filtered loc. syst. on  $(\partial \widetilde{X}_I^\circ, \widetilde{\Sigma}_I^\circ)$

# Stokes-filtered loc. syst. on $\partial \widetilde{X}$

- $\Sigma := \bigsqcup_I \Sigma_I^\circ$ : top. space, but maybe **not** Hausdorff, e.g.
  - $\varphi_1 = 1/z_1 z_2, \varphi_2 = 1/z_1 + 1/z_1 z_2,$
  - $\varphi_1 - \varphi_2 = 0$  on  $\{z_1 \neq 0, z_2 = 0\}$
- $\Sigma$  &  $\widetilde{\Sigma} := \bigsqcup_I \widetilde{\Sigma}_I^\circ$ : **good stratified coverings.**
- $\rightsquigarrow$  **Stokes-filt. loc. syst.  $(\mathcal{L}, \mathcal{L}_\bullet)$  on  $(\widetilde{X}, \widetilde{\Sigma})$ .**

**Theorem** (RHB correspondence, Mochizuki 11, CS 13):  
 Given a **good** strat. cov.  $\Sigma$ , equiv. of categ.

Merom. flat bdles on  $(X, D)$ , ass. strat. cov.  $\subset \Sigma$



Stokes-filtered loc. syst. on  $(\widetilde{X}, \widetilde{\Sigma})$